

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

1594-RUT.03-083US

Total Pages

53

First Named Inventor or Application Identifier

Pal Maliga, et al.

Title: Plastid rRNA Operon Promoter Elements for Construction of Chimeric Promoters for Transgene Expression

Express Mail Label No.

EV301975364US

Date Mailed: December 15, 2003

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

COMMISSIONER FOR PATENTS
ADDRESS TO: P.O. Box 1450
Alexandria, VA 22313-1450

- | | |
|--|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification
(preferred arrangement set forth below)
 <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets 8)</p> <p>4. <input type="checkbox"/> Oath or Declaration (Total Pages)
 <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 Below) i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed Statement attached deleting inventor(s)
named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b). </p> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> | <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies </p> |
|--|---|

ACCOMPANYING APPLICATION PARTS

- | | |
|--|-------------------------------------|
| <p>8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney
(when there is an assignee)</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS
Statement (IDS)/PTO-1449 Citations</p> <p>12. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)</p> <p>14. <input checked="" type="checkbox"/> Small Entity Status</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)
(if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Other: _____</p> | <p>22386 U.S. PTO
10/737251</p> |
|--|-------------------------------------|

17. a. If a CONTINUING APPLICATION, check appropriate box and supply requisite information.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of the following application(s), each of which is hereby incorporated herein by reference:

b. Priority Applications

In addition to any applications listed in 17a, the present application also claims priority to the following application(s), each of which is hereby incorporated herein by reference.

U.S. Provisional Patent Application No. 60/433,302, filed December 13, 2002

18. CORRESPONDENCE ADDRESS

☒ Customer Number 000110 or
DANN, DORFMAN, HERRELL AND SKILLMAN, P.C.
 1601 Market Street, Suite 2400
 Philadelphia, PA 19103-2307
 Phone (215) 563-4100 Facsimile (215) 563-4044
 to the attention of the individual identified below.

Kathleen D. Rigaut
 Kathleen D. Rigaut, Ph.D., J.D.
 Registration No. 43,047

The PTO did not receive the following
 listed item(s) PRELIMINARY AMENDMENT

FEE TRANSMITTAL

Complete if known

Application Number: Not Yet Assigned

Filing Date: December 15, 2003

First Named Inventor: Pal Maliga, et al.

Group Art Unit: Not Yet Assigned

Examiner Name: Not Yet Assigned

Total Amt. of Payment: (1)+(2)+(3)= \$ 385.00

Attorney Docket Number: 1594-RUT.03-083

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to:

☐ Charge indicated fees

☒ Charge additional fees

☒ Credit overpayments

to the account of DANN, DORFMAN, HERRELL & SKILLMAN

Deposit Account Number 04-1406

2. Payment enclosed:

Check in the amount of \$ 385.00

FEE CALCULATION

1. FILING FEE

Fee

Fee Description

Utility filing fee	<u>385</u>
Design filing fee	<u> </u>
Plant filing fee	<u> </u>
Reissue filing fee	<u> </u>
Provisional filing fee	<u> </u>

SUBTOTAL (1) \$385

FEE CALCULATION (continued)

ADDITIONAL FEES

Fee Description

Fee Paid

Surcharge-late filing fee or oath	<u> </u>
Surcharge - late provisional filing fee or cover sheet	<u> </u>
Extension for response within first month	<u> </u>
Extension for response within second month	<u> </u>
Extension for response within third month	<u> </u>
Extension for response within fourth month	<u> </u>
Notice of Appeal	<u> </u>
Filing a brief in support of an appeal	<u> </u>
Request for oral hearing	<u> </u>
Petition to revive unavoidably abandoned application	<u> </u>
Petition to revive unintentionally abandoned application	<u> </u>
Issue fee	<u> </u>
Petitions to the Commissioner	<u> </u>
Petitions related to provisional applications	<u> </u>
Submission of Information Disclosure Stmt.	<u> </u>
Recording each patent assignment per property	<u> </u>
Other fee (specify) <u>Advance Order (10 copies)</u>	<u> </u>
Other fee (specify)	<u> </u>

SUBTOTAL (3)

2. Claims

	Paid	Extr	Fee
Total Claims	- 20 = 0	x 9 =	<u>0</u>
Independent Claims	- 3 = 0	x 43 =	<u>0</u>
Multiple Dependent (First presentation)			

SUBTOTAL (2) 0

Submitted By:

Typed or

Printed Name Kathleen D. Rigaut, Ph.D., J.D.

Reg. Number 43,047

Signature Kathleen D. Rigaut

Date December 15, 2003

Deposit Account User ID

04-1406